



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MERRIMAN, Dwight A. et al.

Appl'n No.: 10/798,340

Filing Date: 12 March 2004

For: METHOD OF DELIVERY, TARGETING,
AND MEASURING ADVERTISING
OVER NETWORKS

Group Art Unit: 3627

Examiner: Laneau, Ronald

MS Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97 & § 1.98

Under the provisions of 37 C.F.R. § 1.97(b)(1), and in accordance with the Applicants' duty of disclosure under 37 C.F.R. § 1.56, Applicants would like to call to the Office's attention the references listed on the attached substitute form PTO-1449 and the information provided below.

Applicants note that since all (except one) of the listed references and below-referenced items were cited by or to the Office in an earlier application relied on for an earlier effective filing date under 35 U.S.C. § 120 (see CROSS-REFERENCE TO RELATED APPLICATIONS section of the specification), no copies (except one) are required to be furnished by Applicants. 37 C.F.R. § 1.98(d).

It is respectfully requested that the listed references and below-referenced items be expressly considered during the prosecution of this application, that the Examiner initial each listed reference and below-referenced item to evidence its consideration, and that the references and below-referenced items be made of record therein and appear among the "References Cited" section on any patent to issue therefrom.

12/30/2004 AMONDAF1 00000090 110600 10798340

02 FC:1806 180.00 DA

Applicants also direct the Office's attention to the following related pending applications: U.S. Reissue Application No. 09/577,798 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed May 24, 2000, U.S. Application No. 09/094,949 entitled Method and Apparatus for Automatic Placement of Advertising, filed June 15, 1998, U.S. Application No. 10/082,069 entitled Network for Distribution of Re-Targeted Advertising, filed February 26, 2002, U.S. Application No. 10/254,923 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 26, 2002, U.S. Application No. 10/798,342 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004, U.S. Application No. 10/798,444 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on March 12, 2004, and U.S. Application No. 10/937,341 entitled Method of Delivery, Targeting, and Measuring Advertising over Networks, filed on September 10, 2004.

Applicants disclose for the Office's review, as discussed below, documents relating to a litigation (*DoubleClick, Inc. v. L90, Inc.*, 00 Civ. 2690, S.D.N.Y.) involving U.S. Patent No. 5,948,061 (the '061 patent), on which the above-mentioned reissue application is based. (DoubleClick brought suit against Sabela in a related action (*DoubleClick, Inc. v. Sabela Media, Inc.*, 99 Civ. 11718 (DLC), S.D.N.Y.) that was consolidated into the L90 action). The present application is a divisional of U.S. Patent Application No. 10/254,923, filed on September 26, 2002, temporarily held abandoned (awaiting decision on petition to withdraw holding of abandonment), which is a continuation of U.S. Patent Application No. 09/293,463, filed on April 15, 1999, now abandoned, which is a continuation of U.S. Application No. 08/738,634 (the '634 application), which issued into the '061 patent. DoubleClick, Inc., plaintiff in the above-referenced litigation, is the assignee of record of the '061 patent, the '634 application, the reissue application, the present application, and all above-mentioned related applications. Although the infringement and invalidity portions of the litigation had been stayed pending the outcome of the reissue application, the litigation moved forward on the sole issue of alleged inequitable conduct before settling on November 29, 2000.

In an effort to alleviate the Office's burden of reviewing the litigation documents, Applicants have set forth below the general issues that surfaced in the litigation, and offer some direction through the disclosed documents. As a side note, Applicants have disclosed the

documents that seem useful for the Office to assess the patentability of the present invention. If the Office wishes to obtain and review additional litigation documents for that purpose, the Office is invited to make that request of Applicants.

The following general issues surfaced in the litigation:

1. Whether an offer to sell or commercial sale of the invention of the '061 patent to a company named Attachmate occurred more than one year before the filing date of the '634 application under 35 U.S.C. § 102(b);
2. Whether the SmartBanner ad serving technology of a company named FocaLink constituted a public use or publication of the invention of the '061 patent more than one year prior to the filing date of the '634 application under 35 U.S.C. § 102(b); and
3. Whether a public use of the invention of the '061 patent between the inventors and a company named ISS occurred more than one year before the filing date of the '634 application under 35 U.S.C. § 102(b).

Attached to this statement as Exhibits 1 and 2 are copies of the 37 C.F.R. § 1.132 declarations of inventors Dwight Merriman and Kevin O'Connor which were filed on April 4, 2001 in the reissue application. In addition to the litigation documents listed in the Appendix, the following pre-trial motions and orders from the litigation have been disclosed to the Office:

- Plaintiff DoubleClick's Pre-Trial Memorandum of Law
 - Defendant L90's Opposition to Plaintiff DoubleClick's Pre-Trial Memorandum of Law
- Defendant L90's Pretrial Memorandum of Law
 - Plaintiff DoubleClick's Memorandum of Law Submitted in Response to Defendant L90's Pre-Trial Memorandum of Law
- Plaintiff DoubleClick's Proposed Findings of Fact and Conclusions of Law
- Defendant L90's Proposed Findings of Fact and Conclusions of Law
- Joint Pretrial Order
- Stipulations of Dismissal (L90 and Sabela)

In the litigation, Plaintiff submitted 30 trial exhibits and Defendant submitted 312 trial exhibits. To minimize the Office's burden, Applicants have furnished the subset of trial exhibits that are referenced in the disclosed pre-trial motions and orders. These exhibits include:

- Plaintiff's Exhibits: 8, 11, 13, 14, 16, 23, 29, 30
- Defendant's Exhibits: 2, 4, 5, 6, 8, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 25, 26, 27, 29, 30, 31, 32, 35, 36, 37, 38, 39, 40, 41, 44, 45, 48, 49, 50, 56, 57, 58, 59, 61, 63, 64, 66, 67, 68, 69, 70, 71, 76, 77, 80, 89, 90, 91, 93, 96, 97, 98, 99, 101, 106, 111, 113, 114, 115, 118, 193, 194, 215, 216, 217, 223, 226, 231, 248, 296, 298, 301, 310, 311, 312

Of the 17 deposition transcripts and 11 trial declarations from the litigation, the following deposition transcripts and trial declarations have been disclosed to the Patent Office:

Name	Context
DEPOSITION TRANSCRIPTS	
Dwight Merriman	co-inventor of the '634 application
Kevin O'Connor	co-inventor of the '634 application
Chris Buckingham	Attachmate executive (relates to issue no. 1)
Thomas Noonan	Principal of ISS (relates to issue no. 3)
Ron Kovas	CEO of FocaLink (relates to issue no. 2)
David Zinman	Founder of FocaLink (relates to issue no. 2)
Christian Knott	DoubleClick's technical expert
Hari Balakrishnan	L90's technical expert
Thomas E. Wharton	CTO of Poppe Tyson (requested by Examiner in appl'n 10/254,923)
TRIAL DECLARATIONS	
Dwight Merriman	Direct (Trial) Testimony
Kevin O'Connor	Direct (Trial) Testimony
Christian Knott	Expert Report
Hari Balakrishnan	Expert Report
Hari Balakrishnan	Direct Trial Testimony


As this statement is being filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of an action that closes prosecution in the application, the Office is authorized to charge the 37 C.F.R. § 1.17(p) fee of \$180.00 to Deposit Account No. 11-0600. A copy of this page is provided for this purpose.

Although not believed necessary, the Office is hereby authorized to charge any additional fees required under 37 C.F.R. § 1.16 or § 1.17 or credit any overpayments to Deposit Account No. 11-0600.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,

Dated: December 28, 2004


Bradley J. Meier
(Reg. No. 44,236)

KENYON & KENYON
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Washington, D.C. 20005
(202) 220 - 4200 (telephone)
(202) 220 - 4201 (facsimile)

APPENDIX

SUMMONS IN A CIVIL CASE, DoubleClick Inc. v. L90, Inc., Case Number 2:99-1914,
District of Virginia;

COMPLAINT FOR PATENT INFRINGEMENT, DoubleClick Inc. v. L90, Inc., Case
Number 2:99-1914, District of Virginia, dated November 12, 1999;

SUMMONS IN A CIVIL CASE, DoubleClick Inc. v. Sabela Media, Inc., 99 CIV. 1178,
Southern District of New York;

COMPLAINT AND JURY DEMAND, DoubleClick Inc. v. Sabela media, Inc., 99 CIV.
1178, Southern District of New York, dated December 3, 1999;

ANSWER AND COUNTERCLAIM OF DEFENDANT L90, INC.; dated January 4,
2000;

DEFENDANT L90, INC.'S ANSWERS TO PLAINTIFF'S FIRST SET OF
INTERROGATORIES, dated January 18, 2000;

PLAINTIFF DOUBLECLICK'S RESPONSE TO DEFENDANT L90'S ANSWER AND
COUNTERCLAIM, dated January 18, 2000;

PLAINTIFF DOUBLECLICK, INC.'S OBJECTIONS AND RESPONSES TO
DEFENDANT L90, INC.'S FIRST SET OF INTERROGATORIES (1-21), dated January 24,
2000, with Exhibits A and B;

PLAINTIFF DOUBLECLICK, INC.'S RESPONSES AND OBJECTIONS TO
DEFENDANT L90'S FIRST REQUEST FOR PRODUCTION OF DOCUMENTS, dated
January 24, 2000;

ANSWER AND COUNTERCLAIM OF SABELA MEDIA, INC., dated January 28, 2000;

SABELA'S OBJECTIONS AND RESPONSES TO DOUBLECLICK'S FIRST SET OF INTERROGATORIES TO DEFENDANT, dated February 1, 2000;

DEFENDANT L90, INC.'S SUPPLEMENTAL RESPONSES TO PLAINTIFF'S FIRST SET OF INTERROGATORIES, dated February 9, 2000;

PLAINTIFF DOUBLECLICK INC.'S RESPONSES TO DEFENDANT SABELA INC.'S FIRST SET OF INTERROGATORIES, dated March 2, 2000;

PLAINTIFF DOUBLECLICK'S RESPONSES AND OBJECTIONS TO SABELA'S FIRST REQUEST FOR DOCUMENTS AND THINGS, dated March 2, 2000;

PLAINTIFF DOUBLECLICK INC.'S CLAIM CHART, dated March 17, 2000;

SABELA'S CLAIM CONSTRUCTION CHART, dated April 14, 2000;

FIRST AMENDED ANSWER AND COUNTERCLAIM OF DEFENDANT L90, INC., dated May 12, 2000;

DEFENDANT L90 INC.'S CLAIM CONSTRUCTION CHART, dated May 12, 2000;

AMENDED ANSWER AND COUNTERCLAIMS OF SABELA MEDIA, INC., dated May 17, 2000;

NOTICE OF MOTION TO STAY PENDING REISSUE PROCEEDINGS BEFORE THE U.S. PATENT AND TRADEMARK OFFICE, dated May 26, 2000, with accompanying DECLARATION OF CHARLES L. KERR IN SUPPORT OF PLAINTIFF DOUBLECLICK INC.'S MOTION TO STAY PENDING REISSUE PROCEEDINGS BEFORE THE U.S. PATENT OFFICE, date May 26, 2000, with Exhibits A-C;

MEMORANDUM OF LAW IN SUPPORT OF PLAINTIFF DOUBLECLICK INC.'S MOTION TO STAY PENDING REISSUE PROCEEDINGS BEFORE THE U.S. PATENT AND TRADEMARK OFFICE, dated May 26, 2000;

DECLARATION OF JOHN M. CALLAGHAN IN SUPPORT OF DEFENDANT L90'S MOTION TO STAY, dated June 10, 2000, with Exhibits A-H attached;

DEFENDANT L90, INC.'S OPPOSITION TO PLAINTIFF DOUBLECLICK INC.'S MOTION TO STAY PENDING PROCEEDINGS BEFORE THE U.S. PATENT AND TRADEMARK OFFICE, dated June 12, 2000, with Exhibits A-D;

MEMORANDUM IN PARTIAL OPPOSITION TO PLAINTIFF'S MOTION TO STAY PENDING REISSUE PROCEEDINGS BEFORE THE U.S. PATENT AND TRADEMARK OFFICE, dated June 12, 2000;

DECLARATION OF JAMES S. BLANK, date June 12, 2000, with Exhibits 1-12;

PLAINTIFF DOUBLECLICK'S RESPONSE TO DEFENDANT L90'S FIRST AMENDED ANSWER AND COUNTERCLAIM, dated June 15, 2000;

PLAINTIFF DOUBLECLICK'S RESPONSE TO DEFENDANT SABELA MEDIA
INC.'S FIRST AMENDED ANSWER AND COUNTERCLAIM, dated June 15, 2000;

REPLY MEMORANDUM OF LAW IN FURTHER SUPPORT OF PLAINTIFF
DOUBLECLICK INC.'S MOTION TO STAY PENDING REISSUE PROCEEDINGS
BEFORE THE U.S. PATENT AND TRADEMARK OFFICE, dated June 19, 2000;

ORDER of Judge Cote, Southern District of New York, granting a partial stay pending
completion of reissuance proceedings, dated July 24, 2000.



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Modified PTO/SB/08A (08-00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 18

Complete if Known

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,602,279		FREEMAN	07-22-1986	
		4,703,423		BADO et al.	10-27-1987	
		4,775,935		YOURICK	10-04-1988	
		4,789,235		BORAH et al.	12-06-1988	
		4,850,007		MARINO et al.	07-18-1989	
		4,870,579		HEY	09-26-1989	
		4,996,642		HEY	02-26-1991	
		5,027,400		BAJI et al.	06-25-1991	
		5,099,422		FORESMAN et al.	03-24-1992	
		5,105,184		PIRANI et al.	04-14-1992	
		5,155,591		WACHOB	10-13-1992	
		5,166,866		KIM et al.	11-24-1992	
		5,191,410		MCCALLEY et al.	03-02-1993	
		5,220,501		LAWLOR et al.	06-15-1993	
		5,253,341		ROZMANITH et al.	10-12-1993	
		5,260,778		KAUFFMAN et al.	11-09-1993	
		5,261,094		EVERSON et al.	11-09-1993	
		5,283,731		LALONDE et al.	02-01-1994	
		5,305,195		MURPHY	04-19-1994	
		5,347,632		FILEPP et al.	09-13-1994	
		5,355,327		STENT et al.	10-11-1994	
		5,361,393		ROSSILLO	11-01-1994	
		5,377,354		SCANNELL et al.	12-27-1994	
		5,412,416		NEMIROFSKY	05-02-1995	
		5,442,771		FILEPP et al.	08-15-1995	
		5,446,891		KAPLAN et al.	08-29-1995	
		5,446,919		WILKINS	08-29-1995	
		5,448,625		LEDERMAN	09-05-1995	
		5,459,656		FIELDS et al.	10-17-1995	
		5,469,206		STRUBBE et al.	11-21-1995	
		5,475,819		MILLER et al.	12-12-1995	
		5,477,451		BROWN et al.	12-19-1995	
		5,499,046		SCHILLER et al.	03-12-1996	
		5,504,744		ADAMS et al.	04-02-1996	
		5,504,894		FERGUSON et al.	04-02-1996	

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 18**Complete if Known**

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

	5,515,098	CARLES	05-07-1996
	5,515,270	WEINBLATT	05-07-1996
	5,537,542	EILERT et al.	07-16-1996
	5,550,746	JACOBS	08-27-1996
	5,559,548	DAVIS et al.	09-24-1996
	5,566,353	CHO et al.	10-15-1996
	5,568,612	BARRETT et al.	10-22-1996
	5,584,025	KEITHLEY et al.	12-10-1996
	5,594,910	FILEPP et al.	01-14-1997
	5,598,536	SLAUGHTER, III et al.	01-28-1997
	5,600,364	HENDRICKS et al.	02-04-1997
	5,621,812	DEATON et al.	04-15-1997
	5,636,346	SAXE	06-03-1997
	5,638,457	DEATON et al.	06-10-1997
	5,649,114	DEATON et al.	07-15-1997
	5,675,662	DEATON et al.	10-07-1997
	5,692,132	HOGAN	11-25-1997
	5,704,017	HECKERMAN et al.	12-30-1997
	5,704,018	HECKERMAN et al.	12-30-1997
	5,708,780	LEVERGOOD et al.	01-13-1998
	5,710,887	CHELLIAH et al.	01-20-1998
	5,712,979	GRABER et al.	01-27-1998
	5,717,860	GRABER et al.	02-10-1998
	5,717,923	DEDRICK	02-10-1998
	5,721,827	LOGAN et al.	02-24-1998
	5,724,424	GIFFORD	03-03-1998
	5,724,521	DEDRICK	03-03-1998
	5,727,156	HERR-HOYMAN et al.	03-10-1998
	5,734,720	SALGANICOFF	03-31-1998
	5,734,828	PENDSE et al.	03-31-1998
	5,737,619	JUDSON	04-07-1998
	5,740,252	MINOR et al.	04-14-1998
	5,745,681	LEVINE et al.	04-28-1998
	5,751,956	KIRSCH	05-12-1998
	5,752,022	CHIU et al.	05-12-1998
	5,754,772	LEAF	05-19-1998
	5,754,938	HERZ et al.	05-19-1998
	5,754,939	HERZ et al.	05-19-1998
	5,757,917	ROSE et al.	05-26-1998

Examiner
SignatureDate
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **3** of **18**

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Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

	5,761,601	NEMIROFSKY et al.	06-02-1998
	5,768,521	DEDRICK	06-16-1998
	5,774,170	HITE et al.	06-30-1998
	5,778,367	WESINGER, JR. et al.	07-07-1998
	5,781,894	PETRECCA et al.	07-14-1998
	5,787,253	MCCREERY et al.	07-28-1998
	5,790,426	ROBINSON	08-04-1998
	5,790,935	PAYTON	08-04-1998
	5,794,210	GOLDHABER et al.	08-11-1998
	5,796,945	TARABELLA	08-18-1998
	5,796,952	DAVIS et al.	08-18-1998
	5,809,242	SHAW et al.	09-15-1998
	5,812,769	GRABER et al.	09-22-1998
	5,812,776	GIFFORD	09-22-1998
	5,815,148	TANAKA	09-29-1998
	5,819,285	DAMICO et al.	10-06-1998
	5,838,790	MAULIFFE et al.	11-17-1998
	5,842,199	MILLER et al.	11-24-1998
	5,848,396	GERACE	12-08-1998
	5,848,397	MARSH et al.	12-08-1998
	5,855,008	GOLDHABER et al.	12-29-1998
	5,870,724	LAWLOR et al.	02-09-1999
	5,873,068	BEAUMONT et al.	02-16-1999
	5,893,075	PLAINFIELD et al.	04-06-1999
	5,913,040	RAKAVY et al.	06-15-1999
	5,918,014	ROBINSON	06-29-1999
	5,933,811	ANGLES et al.	08-03-1999
	5,933,827	COLE et al.	08-03-1999
	5,937,392	ALBERTS	08-10-1999
	5,946,646	SCHENA et al.	08-31-1999
	5,948,061	MERRIMAN et al.	09-07-1999
	5,950,173	PERKOWSKI	09-07-1999
	5,956,024	STRICKLAND et al.	09-21-1999
	5,960,429	PEERCY et al.	09-28-1999
	5,990,927	HENDRICKS et al.	11-23-1999
	5,999,912	WODARZ et al.	12-07-1999
	6,005,566	JONES et al.	12-21-1999
	6,005,567	NIELSEN	12-21-1999
	6,006,252	WOLFE	12-21-1999

Examiner
Signature

Date
Considered

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Sheet 4 of 18

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Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

	6,016,509		DEDRICK	01-18-2000	
	6,020,887		LORING et al.	02-01-2000	
	6,026,368		BROWN et al.	02-15-2000	
	6,029,195		HERZ	02-22-2000	
	6,049,777		SHEENA et al.	04-11-2000	
	6,049,878		CARONNI et al.	04-11-2000	
	6,052,554		HENDRICKS et al.	04-18-2000	
	6,061,659		MURRAY	05-09-2000	
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Examiner
Signature

Date
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 5 of 18

Complete if Known

Application Number	10798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₂
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/798,340
				Filing Date	12 March 2004
				First Named Inventor	Dwight Allen MERRIMAN
				Group Art Unit	3627
				Examiner Name	Laneau, Ronald
				Attorney Docket Number	11032-3068
Sheet	6	of	18		

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Sheet 7 of 18

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Application Number	10798,340
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First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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				Filing Date	12 March 2004
				First Named Inventor	Dwight Allen MERRIMAN
				Group Art Unit	3627
				Examiner Name	Laneau, Ronald
Sheet	8	of	18	Attorney Docket Number	11032-3068

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Examiner Signature	Date Considered
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Sheet 9 of 18

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Application Number	10798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

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10

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18

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Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

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Attorney Docket Number	11032-3068

	FocaLink Media Services, Inc.; David Zinman et al.; August 2, 1995; Z00057-Z00070 / SD027128-SD027141	
	Saturn Banner Ad Placement; August 25, 1995; Z00071 / SD027142	
	SPONSORABLE SITE - RIDDLE DU JOUR; August 26, 1995; Z00072-Z00074 / SD027143-SD027145	
	Saturn Banner Ad Placement; August 27, 1995; Z00075 / SD027146	
	FocaLink Technology; August 30, 1995; Z00076-Z00077 / SD027147-SD027148	
	Question for you; September 7, 1995; Z00078-Z00079 / SD027149-SD027150	
	Information Request; September 12, 1995; Z00080 / SD027151	
	Update and Miscellaneous; September 22, 1995; Z00081-Z00083 / SD027152-SD027154	
	FocaLink: request for ISN ad traffic data (fwd); September 26, 1995; Z00084-Z00085 / SD027155-SD027156	
	Saturn Ad Placements; September 28, 1995; David Zinman; Z00086 / SD027157	
	RE> Saturn Ad Placements; September 29, 1995; Adam@utne.co; Z00087 / SD027158	
	RE: Advertising Update; October 3, 1995; Eric Ver Ploeg; Z00088-Z00089 / SD027159-SD027160	
	RE>> Saturn Ad Placements; September 29, 1995; wigley@utne.com; Z00090 / SD027161	
	Re: Test Parameters (fwd); October 23, 1995; Jason Strober; Z00091-Z00092 / SD027162-SD027163	
	Re: Rest Parameters; October 23, 1995; Jason Strober; Z00093-Z00094 / SD027164-SD027165	
	Proposal (fwd); October 23, 1995; Jason Strober; Z00095-Z00096 / SD027166-SD027167	
	Advertising advisory board; October 25, 1995; David Zinman; Z00097-Z00099 / SD027168-SD027170	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 13 of 18

Complete if Known

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

	Contact at Netcom; October 26, 1995; David Zinman; Z00100 / SD027171	
	CMP Technical Contact; October 30, 1995; Jason Strober; Z00104 / SD027172	
	from ad age today...; October 30, 1995; Karen Johnson; Z00105-Z00106 / SD027173-SD027174	
	We need to get on this; October 31, 1995; David Zinman; Z00107 / SD027175	
	Web site pricing draft; November 7, 1995; Jason Strober; Z00108 / SD027176	
	Heads up...(fwd); November 8, 1995; David Zinman; Z00109-Z00110 / SD027177-SD027178	
	Proposal to Intel; November 13, 1995; Jason Strober; Z00111-Z00114 / SD027179-SD027182	
	FocaLink white paper; November 13, 1995; Jason Strober; Z00115-Z00116 / SD027183-SD027184	
	FocaLink Media Services; November 14, 1995; David Zinman; Z00117-Z00119 / SD027185-SD027187	
	I/Traffic & Dave Carlick; November 14, 1995; David Zinman; Z00120 / SD027188	
	FocaLink white paper; November 15, 1995; David Zinman; Z00121-Z00123 / SD027189-SD027191	
	Re: FocaLink Meeting (fwd); Nov. 20, 1995; Karen Johnson; Z00124-Z00125 / SD027192-SD027193	
	Microsoft ads through FocaLink; November 21, 1995; Jason Strober; Z00126 / SD027194	
	Re: Who's in and who's out (fwd); Nov. 21, 1995; Jason Strober; Z00127-Z00128 / SD027195-SD027196	
	Intel update (fwd); Nov. 23, 1995; Jason Strober; Z00129-Z00130 / SD027197-SD027198	
	Saturn reports; Dec. 5, 1995; Jennifer Ratner; Z00134-Z00140 / SD027199-SD027205	
	November Report; Dec. 5, 1995; Jennifer Ratner; Z00141-Z00146 / SD027206-SD027211	

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SignatureDate
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	14	of	18	Application Number	10/798,340
				Filing Date	12 March 2004
				First Named Inventor	Dwight Allen MERRIMAN
				Group Art Unit	3627
				Examiner Name	Laneau, Ronald
				Attorney Docket Number	11032-3068

		FocaLink Media Services; Dec. 6, 1995; David Zinman; Z00147 / SD027212	
		FocaLink Media Services; Dec. 6, 1995; David Zinman; Z00148 / SD027213	
		FocaLink Media Services; December 6, 1995; David Zinman; Z00149 / SD027214	
		FocaLink for the Layman; December 6, 1995; David Zinman; Z00150 / SD027215	
		Microtargeting; December 7, 1995; David Zinman; Z00151 / SD027216	
		Info on FocaLink Media Services; December 11, 1995; David Zinman; Z00152-Z00154 / SD027217-SD027219	
		FocaLink Media Services; December 11, 1995; David Zinman; Z00155-Z00156 / SD027220-SD027221	
		Catch-up time; December 11, 1995; David Zinman; Z00157 / SD027222	
		Info on FocaLink; December 13, 1995; David Zinman; Z00158-Z00160 / SD027223-SD027225	
		Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00161 / SD027226	
		Re: Intel Ad on Dilbert Site; December 13, 1995; David Zinman; Z00162 / SD027227	
		FocaLink Beta Test on SmartBanner; Dec. 14, 1995; David Zinman; Z00163 / SD027228	
		I/Pro Mtg Today; December 19, 1995; David Zinman; Z00164 / SD027229	
		Eric Smith Quote (fwd); January 10, 1996; David Zinman; Z00165 / SD027230	
		FocaLink Communications, Inc.; January 10, 1996; David Zinman; Z00166 / SD027231	
		Re: Advertisers for wyp.net; January 12, 1996; David Zinman; Z00167 / SD027232	
		Quote for Press Release; January 15, 1996; David Zinman; Z00168 / SD027233	

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 15 of 18

Complete if Known

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

	Re: Quote for Press Release; January 16, 1996; "thomas mark"; Z00169 / SD027234	
	Re: Quote for Press Release (fwd); January 16, 1996; David Zinman; Z00170-Z00171 / SD027235-SD027236	
	RE> **Ad Placement Notific; January 19, 1996; Dan_Stoller@anlsf.com; Z00172-Z00173 / SD027237-SD027238	
	Invitation; January 25, 1996; wilkins@eit.com; Z00174 / SD027239	
	Focalink; January 25, 1996; David Zinman; Z00175 / SD027240	
	SmartBanner Reports; January 25, 1996; David Zinman; Z00176 / SD027241	
	RE: Focalink; January 26, 1996; Rick Vorhaus; Z00177 / SD027242	
	Comments; January 29, 1996; David Zinman; Z00178-Z00182 / SD027243-SD027247	
	Poppe Tyson/Internet Advertising Network Alliance; February 26, 1996; Ron Kovas; Z00183 / SD027248	
	fyi; February 5, 1996; "Roger Follis"; Z00184-Z00185 / SD027249-SD027250	
	Focalink Communications; February 5, 1996; David Zinman; Z00186-Z00188 / SD027251-SD027253	
	Microsoft Update; February 5, 1996; Margaret Bond; Z00189 / SD027254	
	Focalink Communications; February 6, 1996; David Zinman; Z00190-Z00192 / SD027255-SD027257	
	Focalink Communications; February 6, 1996; David Zinman; Z00193-Z00195 / SD027258-SD027260	
	Focalink Communications; February 6, 1996; David Zinman; Z00196-Z00198 / SD027261-SD027263	
	[Fwd: Re: DoubleClick and IAN]; February 6, 1996; Jason Strober; Z00199 / SD027264	
	Focalink Communications; February 6, 1996; David Zinman; Z00200-Z00202 / SD027265-SD027267	

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Date
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 16 of 18

Complete if Known

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

	Test With Focalink; February 7, 1996; David Zinman; Z00203 / SD027268	
	Urgent – Microsoft Ad Placement; February 7, 1996; David Zinman; Z00204 / SD027269	
	Test for Microsoft Banner; February 8, 1996; Joel Bassuk; Z00205 / SD027270	
	ZDNet Test; Margaret Bond; February 8, 1996; Z00206 / SD027271	
	URLs; February 8, 1996; Dan Stoller; Z00207-Z00208 / SD027272-SD027273	
	HeadsUp 02-09-96 (20 stories)<CD58M010>; February 9, 1996; An Information Service of Individual Inc.; Z00209-Z00212 / SD027274-SD027277	
	Focalink Communications; February 13, 1996; David Zinman; Z00213 / SD027278	
	Targeting; February 13, 1996; Michael Wang; Z00214 / SD027279	
	Re: Cookies; February 15, 1996; David Zinman; Z00215 / SD027280	
	Focalink; February 22, 1996; Jason Strober; Z00216 / SD027281	
	Focalink/Microsoft I.E.; February 23, 1996; Margee Bond; Z00217-Z00218 / SD027282-SD027283	
	Cookies; March 6, 1996; David Zinman; Z00219 / SD027284	
	Did I send this 2x; April 3, 1996; Z00220-Z00222 / SD027285-SD027287	
	Answer to your request; April 8, 1996; Z00223 / SD027288	
	Site Letter; April 10, 1996; Z00224-Z00225 / SD027289-SD027290	
	Oracle and Intel; April 12, 1996; Z00226 / SD027291	
	DoubleClick; April 16, 1996; Z00227 / SD027292	

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 17 of 18

Complete if Known

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

		Open Market Licenses With NetGravity; May 6, 1996; Z00228 / SD027293	
		Fact check; May 12, 1996; Z00229 / SD027294	
		IDG; May 17, 1996; Z00230-Z00231 / SD027295-SD027296	
		Daily Spectrum; May 20, 1996; Z00232-Z00242 / SD027297-SD027307	
		Fact check; May 20, 1996; Z00243 / SD027308	
		Cookie FAQ; May 21, 1996; Z00244 / SD027309	
		Focalink Communications; May 21, 1996; Z00245-Z00246 / SD027310-SD027311	
		Network World Fusion; May 22, 1996; Z00247 / SD027312	
		Looming issue; July 13, 1996; Z00248-Z00249 / SD027313-SD027314	
		Cookies & privacy ? / German Press; July 15, 1996; Z00250 / SD027315	
		Cookies & privacy ? / German Press; July 16, 1996; Z00251 / SD027316	
		DoubleClick; September 5, 1996; Z00252-Z00253 / SD027317-SD027318	
		Infoseek Business Terms; September 7, 1996; Z00254-Z00256 / SD027319-SD027321	
		SmartBanner ads on HotWired; September 11, 1996; Z00257 / SD027322	
		Statement of Work; September 23, 1996; Z00258-Z00259 / SD027323-SD027324	
		NetGravity Releases Adserver 2.0; October 21, 1996; Z00260-Z00263 / SD027325-SD027328	
		FOCALINK; DC004278A	

Examiner
Signature

Date
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 18 of 18

Complete if Known

Application Number	10/798,340
Filing Date	12 March 2004
First Named Inventor	Dwight Allen MERRIMAN
Group Art Unit	3627
Examiner Name	Laneau, Ronald
Attorney Docket Number	11032-3068

		"FocaLink Services"; FocaLink Media Services, Inc.; DC004278B-DC004278C	
		"Welcome to Focalink!"; FOCALINK COMMUNICATIONS; DC004278D-DC004278CC	
		"Welcome to Focalink!"; FOCALINK COMMUNICATIONS; DC004278DD-DC004278XX	
		"Link Marketing"; handwritten page; MA0001	
		"Link Marketing"; LinkMarket; MA0002-MA0007	
		"LinkMarket"; David Zinman et al.; MA0008-MA0027	
		"Link Marketing, LLP"; MA0028-MA0029	
		"Link Marketing LLP"; MA0030-MA0038	
		"Escapes"; Web page; MA0039-MA0040	
		"Industry Overview"; FocaLink Media Services, Inc.; MA0041-MA0053	
		"Executive Summary"; Focalink Communications Confidential; printed 7/30/97; MA0054-MA0109	
		"FOCALINK COMMUNICATIONS"; February 1996; MA0110-MA0149	

Examiner
Signature

/Ronald Laneau/

Date

Considered

02/20/2010

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